

<b>Notice of References Cited</b>	Application/Control No. 09/736,782		Applicant(s)/Patent Under Reexamination JOHNSON ET AL.	
	Examiner Harish T. Dass		Art Unit 3628	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,691,094	02-2004	Herschkom, Lee N.	705/37
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	WO 97/39415	10-1997	PCT	ENG et al	G06F 17/60
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	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

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	U	Chopra "Information Asymmetry, price changes, trading volume and bid-ask spreads", Vanderbilt University, 1990 [Dialog file 35, Accession No. 01137117].
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	W	
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.